

Unit Trusts | Application Form For Mukando Customers (USD Investments)

Please complete this form using block letters and tick where appropriate

APPLICATION TO BUY UNITS

We hereby apply for the number of units that can be purchased by the investment amount referred to below and any other subsequent investment amounts. All transactions are subject to conditions of the relevant Trust Deed(s).

Do you already have an Old Mutual Unit Trust investment? Yes No If yes, please provide Unit Trust Entity number -

1. INVESTOR DETAILS

Please refer to section 16(b) for required documentation.

Mukando name	<input type="text"/>		
Investment purpose	<input type="text"/>		
Physical address for this entity	<input type="text"/>		
Telephone	<input type="text"/>	Email	<input type="text"/>
Contact person	<input type="text"/>	I.D. Number	<input type="text"/>
Date of birth	<input type="text"/>	Position	<input type="text"/>

2. OFFICE BEARERS

Name	National I.D. number	Nationality	Residential address	Politically exposed person refer to page 4 (✓)	
				<input type="checkbox"/> Yes	<input type="checkbox"/> No
				<input type="checkbox"/> Yes	<input type="checkbox"/> No
				<input type="checkbox"/> Yes	<input type="checkbox"/> No
				<input type="checkbox"/> Yes	<input type="checkbox"/> No
				<input type="checkbox"/> Yes	<input type="checkbox"/> No
				<input type="checkbox"/> Yes	<input type="checkbox"/> No

At a Group/Club meeting held on

The following resolution was passed - That the Group/Club, being duly authorised by its constitution and rules, memorandum and articles may open or operate accounts with Old Mutual Unit Trusts for the investment of funds in unit trusts. Such accounts are to be operated upon as from date hereof by the signatures of:

Name	Office held	I.D/ Passport number	Signature

3. INCOME VERIFICATION

Source of income

Please ensure that the various sources of income are disclosed in the Mukando Constitution.

4. BANK DETAILS (Domestic Nostro/ USD Account)

Account holder's name

Bank/ Building society

Branch

Account number

Branch code

Account type Current Savings

Please supply proof of bank account in your name e.g. bank statement/ a deposit slip or a bank confirmation letter (not older than 3 months).

5. BUSINESS CONDITIONS

Unit Trust	Category	Investment Objective	Minimum Investment Period	Investment Minimums (USD)		Management Fee (excl. VAT)	Initial Fee	Audit Fees	Trustee's Fee	Income Distribution	Risk
				Lump Sum	Top up						
Old Mutual USD Money Market Fund (Taxable)	Money Market Investments	Capital preservation and regular interest payments	30 days	\$20.00	\$20.00	1.5% p.a.	Nil	As billed	0.1% p.a.	Monthly	Low to Medium

NB: Investment minimums and fees may be subjected to change without notice.

6. INVESTMENT SELECTION

Unit Trust name	Lump Sum (USD)	Distributions (Tick to action)	
		Pay Out	Reinvest
Old Mutual USD Money Market Fund			

NB: Refer to page 5 for banking details.

7. RISK FACTORS

The following is a summary of the key identifiable risks inherent in the Fund/s selected under Section 6 above:

Currency Risk:	The loss of value arising from failure to liquidate the investment in the currency in which the investment was made, that is, US dollars due to monetary policy changes
Market Risk:	The risk of loss of value arising from adverse movements in the market value of assets.
Inflation Risk:	The loss of purchasing power of the investment as a result of the general and sustained increases in prices of goods and services in US dollar terms.
Interest Rate Risk:	The risk of investment and income losses resulting from a change in interest rates.
Liquidity Risk:	Failure to quickly disinvest due to limited liquid assets in the fund.

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8. YOUR TAX INFORMATION

We declare that all members of are resident for tax purposes in Zimbabwe.
(Mukando Name)

None of the group members are resident for tax purposes in the United States or any other country.

NOTES

- As a financial services company, we have to comply with agreements signed by our government, in terms of which tax information is shared with tax authorities in other countries. We are asking for tax residency and tax reference numbers and will record this on our records now, but will only disclose this information to the relevant tax authorities when we are required to.
- Your tax residence is generally the country in which you operate for more than half of any year and which you pay tax. If you are a US corporate you will be considered tax resident in the US.

9. OLD MUTUAL PRIVACY POLICY

Refer to page 4 for information on how we collect and use your company data as well as your privacy rights.

9.1. CONSENT APPROVAL

The Old Mutual Privacy Policy and the Cyber and Data Protection Act [Chapter 12:07] require that we obtain consent from you, our customers when using your personal information for any purpose other than that which it was collected for.

By ticking (Yes) in the tick boxes below, we hereby authorize, acknowledge, and consent to the personal information we shared herein, and which we may in future share with OMIG, and other information relating to our transactions or use of other Old Mutual products and services, to be used by OMIG and other companies within the Old Mutual Zimbabwe Limited Group. We acknowledge and consent to having our personal information used for, amongst other things: processing our applications, processing by third parties who provide services related to the products you access, to propose and supply us with other products that the Old Mutual Group offers, credit assessments, customer service-related exercise and activities, market research, behavioral scoring, product analysis, insurance audit and for administrative purposes.

Type of Consent	Description	YES	NO
Data enrichment	Sharing of information with other companies in the Old Mutual Group	<input type="checkbox"/>	<input type="checkbox"/>
Marketing	Sharing of Old Mutual adverts and promotional material	<input type="checkbox"/>	<input type="checkbox"/>

NB: If you have indicated No, the information collected will solely be used for the purposes for which it has been collected for. If you have indicated Yes to any of the boxes above, please note that you are free to opt out by withdrawing your consent at any time by contacting us through:

**Our Customer Call Centre: T. +263 8677 007437 | Toll Free 433 | SMS. +263 772 299 194
contactus@oldmutual.co.zw or walk into any Old Mutual branch.**

10. JURISDICTION

This relationship between the parties will be governed and construed in accordance with the laws of the Republic of Zimbabwe and the parties herein shall submit to the jurisdiction of the High Court of Zimbabwe.

11. DECLARATION

We would like to invest in Old Mutual Investment Group Zimbabwe (Private) Limited (OMIGZIM) unit trusts.
(Mukando name)

- We declare that we have been given information and advice on the product features, risks and return prior to this investment.
- We are aware that past performance is not indicative of future performance.
- We are aware that the minimum investment period is 30 days.
- We are aware that the value date on our investment will be the next working day following the crediting of our funds into your designated bank account.
- We are aware that proceeds of withdrawals will only be paid into a bank account in our name for which verifiable proof has been submitted to OMIGZIM.
- We are aware that it may take up to 14 days to withdraw our funds depending on prevailing market conditions.
- We are aware that applicable taxes may be charged when withdrawing funds.
- We agree to the number of units sold to us by virtue of this application and we warrant that we have full power and authority to enter into and conclude this transaction, with the necessary assistance where such assistance is a legal requirement.
- We know that the cost of buying units includes an initial charge, an annual management fee and tax on certain components.
- We authorise OMIGZIM to act upon instructions by email without liability in respect of any transfer, payment or other act done in accordance with such instructions and notwithstanding that it shall be shown the same was signed or sent by us.
- We do hereby indemnify and agree to keep OMIGZIM, its affiliates, the Fund, directors and employees indemnified against any loss or damages which we may suffer arising from deposits received with insufficient or incorrect account details, loss of value as a result of change of currency or macro economic conditions and or any of the risks outlined in section 7.
- We understand and confirm that in the event of currency changes, our investment may not be redeemed in the currency we invested in and may be paid out in the then prevailing lawful currency.
- We declare that the deposit/s made into this investment is/are made from free funds which have been legally acquired. We do hereby indemnify and agree to keep OMIGZIM indemnified against any loss, harm or damages that may arise from our giving a false declaration.
- We declare that we have read and fully understood this application form.

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Authorised signatory

Capacity

Authorised signatory

Capacity

Authorised signatory

Capacity

Date

12. MARKETING INFORMATION

How did you get to know about Old Mutual Unit Trusts? Social media Word of mouth Radio Newspaper
Other

13. POLITICALLY EXPOSED PERSONS

A politically exposed person (PEP) is an individual who is or has, at any time in the preceding year been entrusted with a prominent public function. (A PEP may be subject to additional know your customer requirements).

POLITICALLY EXPOSED PERSONS

- What is a politically exposed person?
A politically exposed person is an individual who is or has, at any time in the preceding year been entrusted with a prominent public function and include the following persons:
 - Heads of state, heads of government, ministers and deputy or assistant ministers
 - Members of parliament
 - Members of courts and auditors or the boards of the central bank
 - Ambassadors and high ranking officers in the armed forces
 - Members of the administrative, management or supervisory bodies of state - owned enterprises
- What is immediate family or close associates of a politically exposed person?
A politically exposed person also includes an immediate family member or a known close associate of such a person. Immediate family members and close associates in this instance refer to the following person(s):
 - A spouse
 - Parents
 - Any individual who is known to have a joint beneficial ownership of a legal entity or legal arrangement, or any other such close business relations with a person entrusted with a prominent public function

14. OLD MUTUAL PRIVACY NOTICE

The Old Mutual Group would like to offer you ongoing financial services and may use your personal information to provide you with information about products or services that may be suitable to meet your financial needs. Please SMS your unit trust account number and name to +263772 299 194 if you would prefer not to receive such information and/or financial services.

We may use your information or obtain information about you for the following purposes:

- Assessment and processing of redemption instructions
- Credit searches and/or verification of personal information
- Redemption checks
- Tracing beneficiaries
- Fraud prevention and detection
- Market research and statistical analysis
- Audit & record keeping purposes
- Compliance with legal & regulatory requirements
- Verifying your identity
- Sharing information with service providers we engage to process such information on our behalf or who render services to us. These service providers may be abroad, but we will not share your information with them unless we are satisfied that they have adequate security measures in place to protect your personal information.

You may access your personal information that we hold and may also request us to correct any errors or to delete this information. In certain cases, you have the right to object to the processing of your personal information.

You also have the right to complain to the regulator, the Securities and Exchange Commission Zimbabwe, whose contact details are:
<http://www.seczim.co.zw>
Tel: +263 242 870042-6/8/9
Email: info@seczim.co.zw,

To view our full privacy notice and to exercise your preferences, please visit our website on www.oldmutual.co.zw



15. USD BANKING DETAILS (Deposits can only be made after acceptance of application and issuing of an account number)

BANK NAME	BRANCH CODE	BRANCH NAME	ACCOUNT TYPE	ACCOUNT NUMBER	ACCOUNT HOLDER/ NAME
STANBIC	141002	Samora Machel	Current Account	9140005765004	Old Mutual Unit Trusts
CABS	24000	CABS Main Generic	Current Account	1130154718	Old Mutual Unit Trusts
MyOldMutual	Dial *227# or Send Hi on Whatsapp 0777 227 227 or visit www.myoldmutual.co.zw				
Omari	Dial *707# select option 7, select option 3, select option 1				
Ecocash	Billor Code is 109187				

*Add your 8 USD digit unit trust account number as reference number.

16. OFFICE USE ONLY

a) Introducer's details

Full names

Agency code

Contact numbers (Work) (Mobile)

Email address

b) Documents checklist - NB Mandatory completion by branch staff before submitting to Head Office.

GROUPS

Office bearer's copies of ID's Group constitution Proof of bank account

Proof of residence Group resolution

If "No" on any of the above, do not accept the application

Verified by:

Name Signature

17. RISK RATING

Risk rating score for this customer Low Medium High